# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:	)	
PAYAM SHADI, M.D.	) Case No. 800-2014-004	1664
Physician's and Surgeon's Certificate No. A78965	) ) )	
Respondent.	)	

## **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order for Public Reprimand is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on May 12, 2017.

IT IS SO ORDERED: April 13, 2017.

MEDICAL BOARD OF CALIFORNIA

By: Michelle Anne Bholat, M.D., Chair

Panel B

	#			
1	W. Comp. Do soon a			
1	XAVIER BECERRA Attorney General of California			
2	ROBERT MCKIM BELL Supervising Deputy Attorney General			
3	CHRIS LEONG Deputy Attorney General			
4	State Bar No. 141079 California Department of Justice			
5	300 South Spring Street, Suite 1702 Los Angeles, California 90013			
6	Telephone: (213) 897-2575 Facsimile: (213) 897-9395			
7	Attorneys for Complainant			
8	BEFORE THE MEDICAL BOARD OF CALIFORNIA			
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA			
10		]		
11	In the Matter of the Accusation Against:	Case No. 800-2014-004664		
12	PAYAM SHADI, M.D. 633 S. La Brea Ave.	OAH No. 2016100536		
13	Los Angeles, California 90036	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER		
14	Physician's and Surgeon's Certificate No. A78965,	DISCH ENVART ORDER		
15	Respondent.			
16				
17	IT IS HEREBY STIPULATED AND AGREE	ED by and between the parties to the above-		
18	entitled proceedings that the following matters are to	rue:		
19	PARTII	<u>ES</u>		
20	1. Kimberly Kirchmeyer ("Complainant")	is the Executive Director of the Medical		
21	Board of California ("Board"). She brought this action solely in her official capacity and is			
22	represented in this matter by Xavier Becerra, Attorney General of the State of California, by Chris			
23	Leong, Deputy Attorney General.			
24	2. Respondent Payam Shadi, M.D. ("Respo	ondent") is represented in this proceeding by		
25	attorney Henry Lewin whose address is: 1251 Fairburn Ave., Los Angeles, California 90024.			
26	3. On or about May 1, 2002, the Board issued Physician's and Surgeon's Certificate No.			
27	A 78965 to Payam Shadi, M.D. The Physician's and Surgeon's Certificate was in full force and			
28	effect at all times relevant to the charges brought in Accusation No. 800-2014-004664 and will			
	1			

expire on February 28, 2018, unless renewed.

### **JURISDICTION**

- 4. Accusation No. 800-2014-004664 was filed before the Board and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on June 28, 2016. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2014-004664 is attached as Exhibit A and is incorporated herein by reference.

### **ADVISEMENT AND WAIVERS**

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2014-004664. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

### **CULPABILITY**

- 9. Respondent does not contest that, at an administrative hearing, complainant could establish a *prima facie* case with respect to the charges and allegations contained in the Second Cause For Discipline of Accusation No. 800-2014-004664 (Failure to Maintain Adequate and Accurate Records) and that he has thereby subjected his license to disciplinary action.
  - 10. Respondent agrees that his Physician's and Surgeon's Certificate is subject to

discipline and he agrees to be bound by the Board's disciplinary terms as set forth in the Disciplinary Order below.

11. Respondent agrees that if the Board ever takes action pursuant to paragraph 4 of the Order below, or if another Accusation is filed against Respondent, all of the charges and allegations contained in the Accusation No. 800-2014-004664, shall be deemed true, correct and fully admitted by Respondent for purposes of that proceeding or any other licensing proceeding involving Respondent in the State of California.

### RESERVATION

12. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Medical Board of California or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

#### CONTINGENCY

- Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 14. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including Portable Document Format (PDF) and facsimile signatures thereto, shall have the same force and effect as the originals.
- 15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following

### **DISCIPLINARY ORDER**

**IT IS HEREBY ORDERED** that Physician's and Surgeon's Certificate No. A 78965 issued to Respondent Payam Shadi, M.D. is publicly reprimanded pursuant to Business and Professions Code section 2227, as more specifically set forth below.

1. <u>PUBLIC REPRIMAND.</u> Respondent is publicly reprimanded as follows:

"This Public Reprimand is issued pursuant to Business and Professions Code (Code) section 2227 as a result of the conduct by Respondent as set forth in the Accusation alleging failure to maintain adequate and accurate records pursuant to Code section 2266, relating to the care and treatment of two patients."

IT IS FURTHER ORDERED that Respondent comply with the following:

2. MEDICAL RECORD KEEPING COURSE. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping equivalent to the Medical Record Keeping Course offered by the Physician Assessment and Clinical Education Program, University of California, San Diego School of Medicine (Program), approved in advance by the Board or its designee. Respondent shall provide the program with any information and documents that the Program may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its

///

///

designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later.

- 3. <u>EDUCATION COURSE</u>. Within 60 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for its prior approval an educational program(s) or course(s) which shall not be less than 40 hours for one year. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Pollowing the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 40 hours of CME in satisfaction of this condition.
- 4. <u>VIOLATION OF THIS AGREEMENT</u>. Failure to fully comply with any term or condition of this agreement is unprofessional conduct and grounds for further disciplinary action.

**ACCEPTANCE** 

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Henry Lewin. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

DATED: 2/14/17

AYAM SHADI, M.D.

Responden

The state of the s	I have read and fully discussed with Respondent Payam Shadi, M.D. the terms and		
2	conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.		
3	I approve its form and content.		
4			
5	DATED: O2/14/17 Wery, hours		
6	HENRY LEWIN		
7	Attorney for Respondent		
8	<u>ENDORSEMENT</u>		
9	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully		
10	submitted for consideration by the Medical Board of California.		
11	Dated: 2/15/7 Respectfully submitted,		
12	Dated: 2 (5 ) Respectfully submitted,  XAVIER BECERRA		
13	AVVIER BECERRA  Attorney General of California  ROBERT MCKIM BELL		
14	Supervising Deputy Attorney General		
15	Christer		
16			
17	CHRIS LEONG Deputy Attorney General		
18	Attorneys for Complainant		
19	LA2015699858 62290933.docx		
20			
21			
22			
23			
24			
25			
26			
27			

# Exhibit A Accusation No. 800-2014-004664

		FILED	
1	KAMALA D. HARRIS	STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA	
2	Attorney General of California E. A. Jones III	ACRAMENTO June 28 20 14 BY 2. Firdaus ANALYST	
3	Supervising Deputy Attorney General CHRIS LEONG	BY R. Firdaus ANALYST	
4	Deputy Attorney General State Bar No. 141079		
5	California Department of Justice 300 So. Spring Street, Suite 1702		
6	Los Angeles, CA 90013 Telephone: (213) 897-2575		
7	Facsimile: (213) 897-9395 Attorneys for Complainant		
	Attorneys for Complainant BEFORE TI	<b>ft</b> e	
8	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS		
9	STATE OF CALIFORNIA		
10		<b>7</b> .	
11	In the Matter of the Accusation Against:	Case No. 800-2014-004664	
12	PAYAM SHADI, M.D.	ACCUSATION	
13	633 S. La Brea Ave. Los Angeles, CA 90036		
14	Physician's and Surgeon's Certificate		
15	No. A78965		
16	Respondent.		
17			
18	Complainant alleges:		
19	PARTIES	<u>S</u>	
20	1. Kimberly Kirchmeyer (Complainant) brings this Accusation solely in her official		
21	capacity as the Executive Director of the Medical Board of California, Department of Consumer		
22	Affairs (Board).		
23		171	
24	2. On or about May 1, 2002, the Board issued Physician's and Surgeon's Certificate		
25	Number A78965 to Payam Shadi, M.D. (Respondent).	The Physician's and Surgeon's Certificate	
26	was in effect at all times relevant to the charges brought herein and will expire on February 28,		
27	2018, unless renewed.		
28	///		
	1		

## **JURISDICTION**

- 3. This Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
- 4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
  - 5. Section 2004 of the Code states:
  - "The board shall have the responsibility for the following:
- "(a) The enforcement of the disciplinary and criminal provisions of the Medical Practice Act.
  - "(b) The administration and hearing of disciplinary actions.
- "(c) Carrying out disciplinary actions appropriate to findings made by a panel or an administrative law judge.
- "(d) Suspending, revoking, or otherwise limiting certificates after the conclusion of disciplinary actions.
- "(e) Reviewing the quality of medical practice carried out by physician and surgeon certificate holders under the jurisdiction of the board.
  - "(f) Approving undergraduate and graduate medical education programs.
- "(g) Approving clinical clerkship and special programs and hospitals for the programs in subdivision (f).
  - "(h) Issuing licenses and certificates under the board's jurisdiction.
  - "(i) Administering the board's continuing medical education program."
  - 6. Section 2002 of the Code states:
- "Unless otherwise expressly provided, the term "board" as used in this chapter means the Medical Board of California. As used in this chapter or any other provision of law, "Division of Medical Quality" and a "Division of Licensing" shall be deemed to refer to the board.

7. Section 2234 of the Code, states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
  - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
  - "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
  - "(f) Any action or conduct which would have warranted the denial of a certificate.
- "(g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not apply to this subdivision. This subdivision shall become operative upon the implementation of the proposed registration program described in Section 2052.5.
- "(h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board."

8. Section 2266 of the Code states:

"The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct."

# FIRST CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

9. Respondent is subject to disciplinary action under section 2234, subdivision (c), of the Code in that he was repeatedly negligent in the care and treatment of two patients. The facts and circumstances are as follows.

# Patient C.R.1

- 10. On November 12, 2014, the Board received a consumer complaint from A.R., the wife of Respondent's deceased patient C.R. A.R. alleged that Respondent had provided improper care to patient C.R. which caused him to expire. Patient C.R. was diagnosed with rectal cancer with metastasis to the liver in July 2013. He was treated with chemotherapy. His course was complicated by a colovesical fistula and a scrotal abscess.
- 11. On February 4, 2014, C.R. underwent a laparoscopic diverting colostomy. He had further chemotherapy after this operation.
- 12. On July 7, 2014, C.R. went to Respondent's office. At that time C.R.'s medication regiment included: fentanyl patch, hydrocodone-acetaminophen, dilaudid, valium, ambien and oxycodone-acetaminophen. Adderall was not listed as a prescribed medication in Respondent's medical records.
- 13. On July 22, 2014, C.R. was admitted to Cedar Sinai Medical Center (CSMC), after a fall at home. The accompanying diagnosis included syncope, dehydration, volume depletion, generalized weakness, and perineal wound. During that hospital stay, patient C.R. was found to have streptococcal bacteremia for which he was treated with intravenous antibiotics. In the

<sup>&</sup>lt;sup>1</sup> Patient names are reduced to initials for privacy.

emergency department's (ED) record from CSMC, Adderall was listed in his prior to admission medication list. It was continued in the inpatient setting and carried over with his discharge orders at the time of transfer to the Sharon Care Center (SCC). Respondent did not perform a medication reconciliation when patient C.R.'s care transitioned.

- 14. On August 2, 2014, Patient C.R. was discharged from CSMC. At that time his medication regiment was as follows: Adderall 20 mg daily; Ambien 10 mg at bedtime; Fentanyl patch 25 mcg every 72 hrs.; Oxycodone 10-20 mg every 4 hours as needed; and Valium 5 mg QD PRN. Based on A.R.'s concern, the physician covering for Respondent, discontinued the Adderall and fentanyl patch. However, Respondent's discharge summary makes no mention of discharge medications. Patient C.R. was transitioned to a skilled nursing facility, SCC, for continuation of intravenous antibiotics. He received physical therapy/occupational therapy there and intravenous antibiotics. He subsequently developed fever.
- 15. On September 18, 2014, C.R. was transferred back to the CSMC emergency department (ED), for tachycardia, and was admitted to the hospital.
- 16. On September 25, 2014, C.R. was discharged home with his spouse under hospice care. On October 1, 2014, C.R. expired at home.
- 17. While at SCC, A.R. was concerned that patient C.R. was on too many medications, that he was not required to ambulate, and that his dentures were lost, which impaired his oral intake. During this period of time A.R. made multiple phone calls to Respondent, attempting to express her concerns about the care provided to patient C.R., but was unable to speak with Respondent. Respondent failed to communicate with A.R. about patient C.R.'s condition.
- 18. Respondent is subject to disciplinary action under Code sections 2234, subdivision (c), in that he was repeatedly negligent in the care and treatment of patient C.R. The circumstances are as follows:

- A. Respondent failed to perform a medication reconciliation at transitions of care (i.e., from home to hospital, hospital to home or Skilled Nursing Facility) which was key to prevent adverse outcomes from medication errors.
- B. Respondent failed to fulfill his responsibility as a treating clinician to update patient C.R. and, if patient C.R. was not capable of understanding his medical condition, then to update the responsible party, A.R., who had attempted to contact Respondent on multiple occasions.
- C. Respondent failed to maintain accurate and adequate medical records at the Skilled Nursing Facility including: no progress notes were written; the admission history and physical were inadequate lacking elements; no history of presenting illness was charted; no review of symptoms was performed; no allergies were listed; and no assessment was set forth. Also the notes were not dated.
- D. Respondent failed to maintain accurate and adequate medical records at CSMC including: numerous elements were copied from previous progress notes, including the physical exam section, which did not change from one day to the next. The discharge summary fails to mention the discharge medications. Also, C.R.'s perineal wound was not mentioned on his admission notes to CSMC or on subsequent follow-up notes.

## Patient H.D.

- 19. On April 8, 2014, the Board received a consumer complaint from V.R., the life partner of deceased patient H.D. V.R.'s complaint alleged that Respondent had provided negligent care and treatment to patient H.D.
- 20. On July 13, 2011, patient H.D. was admitted to Olympia Medical Center (OMC), after a fall, which had resulted in a broken shoulder. He was subsequently discharged.

///

- 21. On July 24, 2011, patient H.D. returned to OMC for shortness of breath and high heart rate. While in the ED physician's note there is mention of gross hematuria with clots, there is no mention of this in Respondent's history and physical. Even though the ED physician did the appropriate treatment for this issue, the follow up plan should have come from the attending physician. Respondent failed to document and acknowledge a significant medical issue and create a follow up plan for this issue.
- 22. On August 18, 2011, Patient H.D. was still in intensive care unit (ICU). He was having fever as high as 101 degrees Fahrenheit.
- 23. On August 19, 2011, the last progress note from the critical care specialist recommended keeping patient in ICU. Despite this, Respondent gave a verbal order on the same day to discharge patient H.D. to Hancock Care, a rehabilitation unit. Patient H.D. was not stable for transfer. Patient H.D. had undergone an invasive procedure on the day of his discharge. Respondent did not transfer the patient to a step down unit in the hospital.
- 24. On August 20, 2011, Patient H.D. returned to OMC. On September 6, 2011, the hospital disconnected all of patient H.D.'s life support and he passed away.
- 25. Respondent is subject to disciplinary action under Code sections 2234, subdivision (c), in that he was repeatedly negligent in the care and treatment of patient H.D. The circumstances are as follows:
- A. Respondent failed to ensure patient H.D. was stable for discharge on August 19, 2011.
- B. Respondent's medical records from OMC show significant amount of illegible writing. Notes cosigned by Respondent, in the brief addendum, are not dated and timed.

C. Respondent failed to maintain a complete list of patient's problems and follow up plan. There was no mention in the discharge summary as to why the Foley catheter was inserted into patient H.D. and no mention about the hematuria which he had developed, which would have needed a follow up plan.

# SECOND CAUSE FOR DISCIPLINE

(Failure to Maintain Adequate and Accurate Records)

24. Respondent is subject to disciplinary action under section 2266 of the Code in that he failed to maintain adequate and accurate records relating to the provision of services to patients C.R. and H.D. The facts and allegations in paragraphs 9 through 25 are hereby incorporated here as if fully set forth.

## **PRAYER**

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate No. A78965, issued to Payam Shadi, M.D.;
- 2. Revoking, suspending or denying approval of Payam Shadi, M.D.'s authority to supervise physician assistants, pursuant to section 3527 of the Code;
- 3. Ordering Payam Shadi, M.D., if placed on probation, to pay the Medical Board of California the costs of probation monitoring; and,
  - 4. Taking such other and further action as deemed necessary and proper.

DATED: <u>June 28, 2016</u>

KIMBERLY KIRCHMEYE

Executive Director

Medical Board of California
Department of Consumer Affairs

State of California

Complainant

LA2016500968 61997478.docx